



City of Santa Barbara

City Administrator's Office

www.SantaBarbaraCA.gov

Administration

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735 Anacapa St.

Santa Barbara, CA

93101

PO Box 1990

Santa Barbara, CA

93102-1990

June 17, 2009

Cynthia J. Blatt
Community Planning and Development
HUD Los Angeles Area Office, Region IX
611 West 6th Street
Los Angeles, CA 90017

SUBJECT: CDBG-R SUBSTANTIAL AMENDMENT MODIFICATION

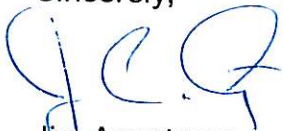
Dear Ms. Blatt:

The City of Santa Barbara is submitting a revision to modify its FY 2008 CDBG-R Substantial Amendment. The amendment was originally submitted on June 4th. The enclosed document has been revised based upon your recent comments discouraging the funding of infrastructure in community parks.

If you have any questions or require additional information, please do not hesitate to call Sue Gray at (805) 564-5461.

Thank you.

Sincerely,


Jim Armstrong
City Administrator

Enclosure – Revised FY 2008 CDBG-R Substantial Amendment

CDBG-R Substantial Amendment Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): <u>City of Santa Barbara</u>	CDBG-R Contact Person: Sue Gray Address: P.O. Box 1990 Santa Barbara, CA 93102-1990
Jurisdiction Web Address: • <u>www.santabarbaraca.gov</u>	Telephone: 805.564.5461 Fax: 805.564.5477 Email: <u>sgray@santabarbaraca.gov</u>

The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes ☒ No ☐ Verification found on page 7

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes ☒ No ☐ Date Spreadsheet was emailed: June 2, 2009; revised on June 19, 2009 and emailed to the City's HUD representative.

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
Yes ☒ No ☐ Verification found on page(s) 7
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
Yes ☒ No ☐ Verification found on page(s) 7
- the CDBG national objective citation,
Yes ☒ No ☐ Verification found on page(s) 7

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes ☒ No ☐ Verification found on page(s) 4
- projected number of jobs created for each activity,
Yes ☒ No ☐ Verification found on page(s) 5
- whether an activity will promote energy efficiency and conservation,
Yes ☒ No ☐ Verification found on page(s) 5
- the name, location, and contact information for the entity that will carry out the activity,
Yes ☒ No ☐ Verification found on page(s) 6
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes ☒ No ☐ Verification found on page (s) 7
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes ☒ No ☐ Verification found on page (s) 7
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes ☒ No ☐ Verification found on page (s) 7

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction's usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes ☒ No ☐ Verification found on page(s) 6

Is there a summary of citizen comments included in the final amendment?

Yes ☒ No ☐ Verification found on page(s) 6

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- | | | |
|--|---|-----------------------------|
| (8) Community development plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (9) Following a plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (13) Lead-based paint procedures | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (15) Compliance with ARRA | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (16) Project selection | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (17) Timeliness of infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (18) Buy American provision | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (19) Appropriate use of funds for infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (20) 70% of CDBG-R for LMI | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

Optional Certification

- | | | | |
|------------------|----------------|------------------------------|-----------------------------|
| (21) Urgent Need | Not Applicable | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
|------------------|----------------|------------------------------|-----------------------------|

D. STATE CERTIFICATIONS

NOT APPLICABLE

The following certifications are complete and accurate:

- | | | |
|--|------------------------------|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of State | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (9) Consultation with Local Governments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (13) Compliance with laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with ARRA | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (15) Project selection | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (16) Timeliness of infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (17) Buy American provision | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (19) 70% of CDBG-R for LMI | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

Optional Certification

- | | | |
|------------------|------------------------------|-----------------------------|
| (20) Urgent Need | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
|------------------|------------------------------|-----------------------------|

CDBG-R SUBMISSION TEMPLATE **& CHECKLIST**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated SF-424.

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): <u>City of Santa Barbara</u>	CDBG-R Contact Person: Sue Gray
	Address: P.O. Box 1990
	Santa Barbara, CA 93102-1990
Jurisdiction Web Address:	Telephone: 805.564.5461
• <u>www.santabarbaraca.gov</u>	Fax: 805.564.5477
	Email: <u>sgray@santabarbaraca.gov</u>

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

See attached Spreadsheet (Page 7)

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

	Activity	Cost
1.	Franklin Center and Public Health Clinic: The purpose of this project is to stimulate the economy by contracting with local contractors to provide energy efficiency rehabilitation to this low-income neighborhood public health clinic and social services building. Rehabilitation will include the following: roof replacement; installation of an electronic building automation system to control all lighting and mechanical systems; installation of lighting occupancy sensors to minimize lighting "on" time; system commissioning to ensure that all mechanical and electrical systems are balanced and working according to design; and installation of air to air economizers on air conditioning systems to enable outside air to cool the building instead of mechanical cooling.	\$89,000
2.	Alisos St. Sidewalk, Curb Cut and Lighting Installation: The purpose of this project is to stimulate the economy by contracting with a local contractor to modernize the infrastructure on this residential street, including the installation of sidewalks and curb cuts at intersections (to meet ADA requirements) and the installation of energy efficient mid-block lighting.	\$156,883
3.	Job Apprenticeship Program: The purpose of this project is to stimulate the economy and expand educational opportunities for low-income youth by providing participants with training and temporary employment to increase their potential for future employability.	\$43,391
	TOTAL:	\$289,274

(2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

Activity	
1.	Franklin Center and Public Health Clinic: This project is located in one of the City's six low to moderate-income census tracts (8.02) and is serving primarily low to moderate-income persons with access to health care and social services programs. The work will be completed by independent contractors, which will promote economic recovery by creating/retaining jobs in the construction field. This trade has been hit hard by the economic recession and resulting decline in new construction and remodeling projects.
2.	Alisos St. Sidewalk, Curb Cut and Lighting Installation: This infrastructure project is located in two of the City's six low to moderate-income census tracts (8.01 and 8.02). It is located in a densely-populated residential neighborhood. The project will use independent contractors, thereby promoting economic recovery by creating/retaining jobs in the construction field. This trade has been hit hard by the economic recession and resulting decline in new construction and remodeling projects.
3.	Job Apprenticeship Program: CDBG-R public service funds will allow the City to expand its Job Apprenticeship Program. This project will promote economic recovery by creating temporary jobs for low to moderate income youth whose families have been impacted by the recession. The program recruits and employs youth and young adults on a part-time temporary basis in various skilled, technical and professional training opportunities within City departments. Participants are provided training and support through auxiliary workshops. The goals are to provide participants with on-the-job training, an understanding of the employment market and the skills required for on-going employment.

- (3) **Jobs Created:** (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

	Activity	Construction		Temporary		Total	
		Created	Retained	Created	Retained	Created	Retained
1.	Franklin Center and Public Health Clinic:		2 F/T				2 F/T
2.	Alisos St. Sidewalk, Curb Cut and Lighting Installation:		6 F/T				6 F/T
3.	Job Apprenticeship Program:			36 P/T		36 P/T	
	TOTAL:		8 F/T	36 P/T		36 P/T	8 F/T

Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

	Activity	Additional Activity
1.	Franklin Center and Public Health Clinic:	This project will improve the energy efficiency of the facility by replacing the roof and installing energy saving devices; thereby, lowering costs and promoting energy conservation.
2.	Alisos St. Sidewalk, Curb Cut and Lighting Installation:	This project will also promote energy conservation by installing energy efficient lighting.

- (4) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Grantee Name	City of Santa Barbara
CDBG-R Contact Person	Sue Gray
Title	Community Development Programs Supervisor
Address Line 1	P.O. Box 1990
Address Line 2	
City, State, Zip Code	Santa Barbara, CA 93102-1990
Telephone	805.564.5461
Fax	805.564.5477
Email Address	sgray@santabarbaraca.gov
Authorized Official (if different from Contact Person)	James Armstrong
Title	City Administrator
Address Line 1	P.O. Box 1990
Address Line 2	
City, State, Zip Code	Santa Barbara, Ca 93102-1990
Telephone	805.564.4305
Fax	805.897.1993
Email Address	jarmstrong@santabarbaraca.gov
Web Address where this Form is Posted	www.santabarbaraca.gov

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

A notice in the Santa Barbara Daily Sound was published on April 25, 2009. A public hearing was held at the April 28, 2009 Community Development and Human Services Committee (CDHSC) meeting. The CDHSC made a recommendation to Council on the use of CDBG-R funds. The public comment period ended on May 18, 2009. The use of CDBG-R funding on the above projects was approved by Council on May 19, 2009. The proposed projects were revised at the request of our HUD representative and the new projects are scheduled to go to Council for approval on July 14, 2009.

Response:

One member of the public commented at the May 19th City Council meeting regarding the public notification process and how it relates to another grant the City will be receiving. Staff explained the public comment period for the CDBG-R funds.

Jurisdiction/Grantee Name: City of Santa Barbara		CDBG-R Formula Grant Amount: \$289,274					Date: June 16, 2009	
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget	
1	Franklin Center and Public Health Clinic	To stimulate the economy by contracting with local contractors to provide energy efficiency rehabilitation to this low-income neighborhood public health clinic and social services building. Rehabilitation will include the following: roof replacement; installation of an electronic building automation system to control all lighting and mechanical systems; installation of lighting occupancy sensors to minimize lighting "on" time; system commissioning to ensure that all mechanical and electrical systems are balanced and working according to design; and installation of air to air economizers on air conditioning systems to enable outside air to cool the building instead of mechanical cooling.	570.201(c)	570.208(a)(2)	\$89,000	\$0	\$89,000	
2	Alisos St. Sidewalk, Curb Cut and Lighting Installation	To stimulate the economy by contracting with a local contractor to modernize the infrastructure on this residential street, including the installation of sidewalks and curb cuts at intersections (to meet ADA requirements) and the installation of energy efficient mid-block lighting.	570.201(c)	570.208(a)(1)	\$156,883	\$0	\$156,883	
3	Job Apprenticeship Program	To stimulate the economy and expand educational opportunities for low-income youth by providing participants with training and temporary employment to increase their potential for future employability.	570.201(e)	570.208(a)(2)	\$43,391	\$0	\$43,391	
	TOTAL			\$289,274	\$0	\$0	\$289,274	

CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Special Assessments. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) Excessive Force. The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) Compliance with anti-discrimination laws. The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) Compliance with lead-based paint procedures. The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) Compliance with laws. The jurisdiction will comply with applicable laws.

(15) Compliance with ARRA. The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.


(16) Project selection. The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) Timeliness of infrastructure investments. When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.



Signature/Authorized Official

6/2/09
Date

City Administrator
Title

Application for Federal Assistance SF-424

Version 02

***1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

***2. Type of Application**

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s)

*Other (Specify) _____

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: City of Santa Barbara

*b. Employer/Taxpayer Identification Number (EIN/TIN):
95-6000787

*c. Organizational DUNS:
008-717-3634

d. Address:

*Street 1: P.O. Box 1990
Street 2: 630 Garden Street
*City: Santa Barbara
County: Santa Barbara
*State: California
Province: _____
*Country: United States
*Zip / Postal Code 93102-1990

e. Organizational Unit:

Department Name:
Community Development Department

Division Name:
Housing and Redevelopment Division

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms. *First Name: Sue
Middle Name: L.
*Last Name: Gray
Suffix: _____

Title: Community Development Programs Supervisor

Organizational Affiliation:

*Telephone Number: 805.564.5461

Fax Number: 805.564.5477

*Email: sgray@santabarbaraca.gov

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***9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.253

CFDA Title:

Community Development Block Grant Entitlement / Recovery Act Funds (CDBG-R)

***12 Funding Opportunity Number:**

*Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Santa Barbara

***15. Descriptive Title of Applicant's Project:**

CDBG-R funding will be used for our City Target Area Neighborhood Improvement Program projects including roof replacements for three neighborhood centers; park improvements consisting of landscaping and playground replacement; and sidewalks and access ramps on a neighborhood street.

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16. Congressional Districts Of:

*a. Applicant: 19

*b. Program/Project: 19

17. Proposed Project:

*a. Start Date: 06/05/2009

*b. End Date: 06/30/2010

18. Estimated Funding (\$):

*a. Federal	\$289,274
*b. Applicant	0
*c. State	0
*d. Local	0
*e. Other	0
*f. Program Income	0
*g. TOTAL	\$289,274

*19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on _____
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E. O. 12372

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Mr. *First Name: James

Middle Name: L.

*Last Name: Armstrong

Suffix:

*Title: City Administrator

*Telephone Number: 805.564.5301

Fax Number: 805.564.5506

*Email: jarmstrong@santabarbaraca.gov

*Signature of Authorized Representative:

*Date Signed: May 26, 2009

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***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.